# Annual Complaints Handling Report

(August 2023-July 2024)

**Report Publication Date:** Autumn 2024 WEST COLLEGE SCOTLAND

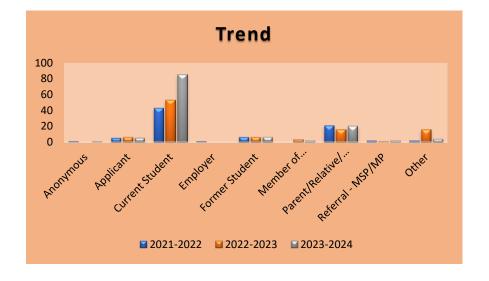


#### Context

The College operates its complaints handling procedures in accordance with the procedure for public bodies administered by the <u>Scottish Public Sector Ombudsman</u> (SPSO). The college regards "expressions of dissatisfaction" as opportunities to review and amend our practices and recognise complaints as key elements of learner, and other stakeholder, feedback. This report is a summary of the complaints received and actions taken for the academic session 2023-2024. Stage 1 refers to routine complaints usually dealt with and resolved within 5 working days. Stage 2 complaints are more complex and often require a degree of investigation to reach a resolution, with a target maximum closure date of 20 working days.

# Performance Indicator 1: Total Number of Complaints received at Stages 1 & 2

Complainants	2021-2022	2022-23	2023-2024
Stage 1	34	24	39
Stage 2	47	77	90
Total	81	101	129



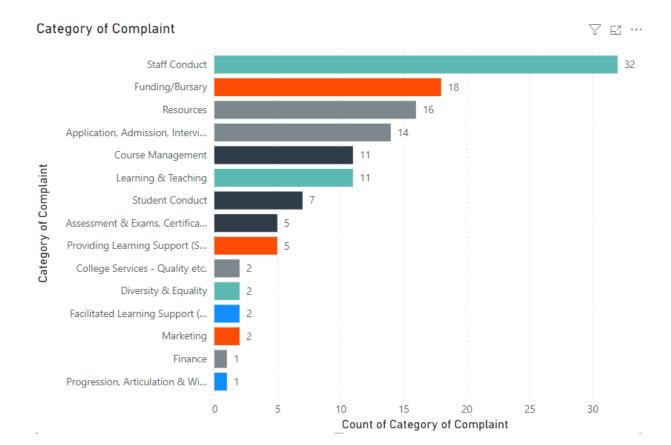


#### What do our stakeholders complain about?

Complaints are categorised in line with a national college sector agreement with the College Complaints Handing Advisory Group (CHAG) and the SPSO.

Our data highlights that most complaints received in 2023-24 were allocated under Staff Conduct. (Session 22-23 = 24, session 21-22 = 30). Complaints in this category have been showing the highest trend increase over the last three consecutive sessions.

Complaints about Staff Conduct varied in their context, but overall were around how our stakeholders have been perceived to have been treated by staff. Any staff member mentioned in a complaint is notified and offered support.





# Performance Indicator 2: The number and percentage of complaints at each stage that were closed in full within the set timescales of five and 20 working days

Stage 1 closed within 5 working days	31	24%
Stage 2 closed within 20 working days	59	46%
Stage 2 closed after 20 working days	22	17%

### Performance Indicator 3: The average time in working days for a full response to complaints at each stage

	WCS timeline	SPSO timeline
Stage 1	2.5 days	5 days
Stage 2	13 days	20 days
Stage 2 (after 20 working days)	31 days	NA



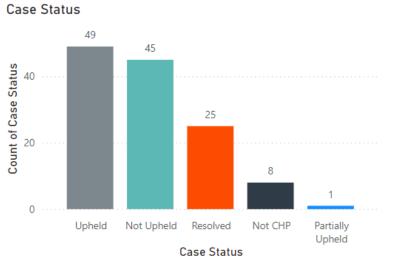
# Performance Indicator 4: The Outcome of Complaints at each Stage

Complaint Outcome	Number of Stage 1 Complaints	Number of Stage 2 Complaints
Upheld	14	35
Partially Upheld	0	1
Not Upheld	9	36
Resolved	10	15
Not CHP	6	2

Complaints are determined in one of three categories. They can be **upheld; not upheld or resolved**. The resolved category is used in those situations where that response is considered more appropriate.

Trends - We are making positive progress towards meeting SPSO timelines for closing off Stage 2 complaints mainly due to more efficient practices internally in following up complaints which have escalated to Stage 2.





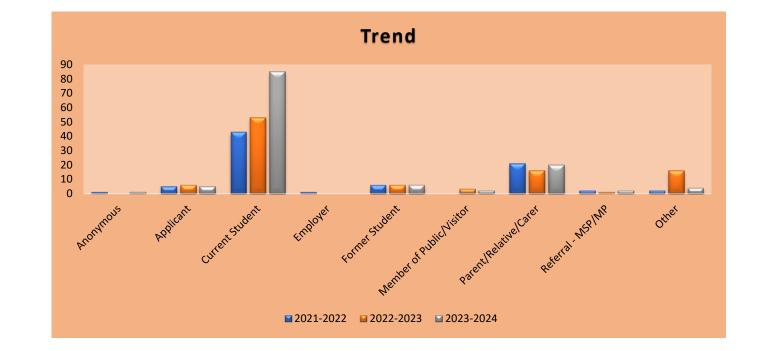
Complaints By Stage	2022-23	2023-2024
Total received	101	129
Met by Stage 1 (5 days)	24	38
Met by Stage 2 (20 days)	38	68
Outwith SPSO Set Timelines	39	23



## Who complains?

Similar to previous years, complaints received were overwhelmingly made by current students, with a rise of nearly 50% since session 21/22.

The category of complainant remains consistent with previous years, with perhaps a slight increase in the number of complaints from Parents/Relative/Carer.

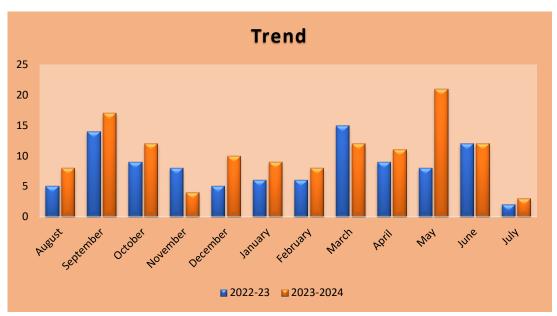




## **Complaints volume by month**

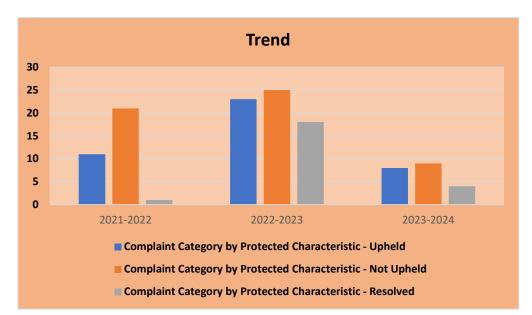
To help us understand and improve our internal efficiency and capacity for allocation to Investigating Managers, we have tracked peak times for receipt of complaints during the last two sessions.

All months apart from November and March have seen an increase in complaint submissions, with peaks at the start and end of each session.





## **Protected Characteristics**



A total of 21 complainants identified a protected characteristic for session 2023-24. Out of the 21 complaints received, 8 were upheld, 9 were not upheld and 4 were resolved.

These figures would suggest that the experience for students with a protected characteristic is better than in the previous session. The college will continue to monitor these statistics year on year.

Complaints handling is supported by an Equality Impact Statement that pro-actively supports a neutral position. We are confident that the implementation of our procedure does not discriminate against, nor benefit, any particular group.



#### **Good Practice**

Last session, based on feedback from Investigating Managers (IM), the Quality Department introduced an Investigation Planning Form to support and standardise our process. This has been recognised as good practice by the SPSO and the Complaints Handling Team will continue to support our IMs in using this as a tracking and evidence generating tool.

#### Areas for continued improvement

- Reminder to all staff that complaints offer an opportunity to improve our services and should be directed through the college complaints handlers
- Improve timelines for resolving complex complaints
- Ensure all investigating managers have undertaken appropriate training for the role

#### **SPSO Referrals**

The college had one complaint referred to the SPSO during session 2023-2024. The outcome of this referral was positive with no further action to be taken. SPSO determined that the College's response indicated that the matter had been handled appropriately and had followed our internal procedures.



# Lessons Learned from Complaints

The College uses complaints to improve the quality of our service users' experience. Here are some examples of remedial actions taken because a complaint was raised:

Category of complaint	Main substance of the complaint	Remedial action taken
Application, Admission, Interview, Enrolment, Induction	The student had applied for access to nursing. Student had a telephone interview but was unsuccessful but was given no reason for the outcome.	Investigating Manager made contact with Operational Manager for Recruitment and Selection, to ensure that updates were made to our system, i.e. reason for non-acceptance on to course.
Learning and Teaching	Concerns relating to their classes.	Department to look at the way they split theory and practical work to ensure student engagement.
Progression, Articulation & Withdrawal		No lesson learned identified from complaints received in this category for session 23-24.
Staff Conduct	Complaint from former student who feels he was treated unfairly by lecturer due to disabilities.	Handling of sensitive personal information will be conducted on a 1-1 basis with the student going forward.
	Student complaint that they had class rules enforced on them in a different manner from classmates.	Ensure that classroom and policies/procedures are enforced consistently across the student body.